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UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549



ADMINISTRATIVE PROCEEDINGFile No. 3-16479

In the Matter of

JOSEPH J. ALMAZON AND SPARTAN CAPITAL PARTNERS,

Respondents.

DIVISION OF ENFORCEMENT'S MOTION TO DISCONTINUE ADMINISTRATIVE PROCEEDING WITHOUT PREJUDICE TO REINSTATEMENT

The Division of Enforcement ("Division") respectfully submits this motion pursuant to 17 C.F.R. § 200.30-10(a)(8)¹ to discontinue this administrative proceeding against Joseph J. Almazon ("Almazon") and Spartan Capital Partners ("Spartan," and together, "Respondents") without prejudice to reinstatement. The Division makes this request because, despite diligent efforts, it has been unable to serve the April 8, 2015 Order Instituting Proceedings ("OIP") on either Respondent.

¹ This regulation delegates to the Chief Administrative Law Judge the power "[t]o grant motions of staff counsel to discontinue administrative proceedings as to a particular respondent who has died or cannot be found, or because of a mistake in the identity of a respondent named in the order for proceedings."

Background

On April 8, 2015, the Commission issued the OIP pursuant to Section 15(b) of the Securities Exchange Act of 1934 ("Exchange Act"). To date, neither Almazon, a pro se Respondent, nor Spartan, which is wholly-owned and controlled by Almazon, has acknowledged service of the OIP by the Office of the Secretary. See May 20, 2015 Declaration of Karen Willenken at ¶ 4. Separately, as described below, the Division has attempted diligently to serve Almazon and Spartan Capital Partners, which Almazon owns and controls, at each of their known and potential physical and other addresses with the OIP by other means.

The Division emailed the OIP to the email address previously provided to the Division by Almazon as the sole means by which he agreed to be served with pleadings in the related civil enforcement action, SEC v. John A. Mattera, et al., 11-cv-8323 (PKC) (SDNY). Almazon has not acknowledged service of the OIP by email. The Division also called Almazon's last known telephone number and left a voicemail, but Almazon did not respond. Willenken Decl. at ¶ 5.

In addition, the Division retained a process server to serve Almazon personally with the OIP at five of his known or suspected past residences and places of business. The process server was unable to locate Almazon or anyone who was familiar with Almazon at four of the five addresses. The process server was advised by neighbors that an address at in Hicksville, NY, which Almazon had previously testified was his home address, had been vacant and under construction for over six months. Willenken Decl. at ¶ 6.

In his deposition in the civil litigation on May 17, 2013, Almazon testified that he would sometimes "crash" at his grandparents' residence in Roslyn, NY, but he declined to provide a specific address. On April 11, 2015, the process server served the OIP at an address in Roslyn Heights, NY, identified in public databases as being owned by possible relatives of Almazon.

The woman who answered the door at that address identified herself to the process server as Almazon's grandmother and advised the process server that Almazon did not reside there and that she did not know how to reach him. The process server nevertheless attempted substitute service by handing her a copy of the papers and sending a copy of the papers to Mr. Almazon at the Roslyn Heights address. Willenken Decl. at ¶ 7.

Prior Motion Practice

On April 30, 2015, the Division moved for an order adjourning the scheduled May 4, 2015 hearing in this matter, and the setting of a pre-hearing conference to address whether the Division had adequately served the Respondents and to discuss additional steps such as service by publication. By order dated May 1, 2015, the Chief Administrative Law Judge granted the Division's motion to adjourn the hearing, but declined to schedule a pre-hearing conference to address the Division's proposal of alternate service, and ordered that a prehearing conference would only be scheduled "once the Division has filed a declaration showing service on Respondents."

Relief Requested

The Division has exhausted all avenues available in an attempt to determine Respondents' whereabouts, and has expended substantial resources in the process. The Division does not believe that additional time and the expenditure of additional resources would assist it in locating the Respondents. Willenken Decl. at ¶ 8. Accordingly, the Division believes that discontinuance of the proceeding, without prejudice to reinstate the proceeding in the event the Division locates the Respondents, is appropriate under the circumstances.

WHEREFORE, the Division respectfully requests that this proceeding be discontinued, without prejudice to reinstatement.

Dated: May 20, 2015

New York, New York

DIVISION OF ENFORCEMENT

By:

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May 20, 2015

BY FAX AND UPS

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Joseph J. Almazon, et al., AP File No. 3-16479

Dear Mr. Fields:

Re:

Please find attached the Division's motion to discontinue the above-captioned administrative proceeding without prejudice to reinstatement.

Sincerely

Neal Jacobson Senior Trial Counsel